



10-04-01

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Sector 18

Attorney Docket No.YOR920010406US1

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Lawrence Bergman;
Yuan-Chi Chang;
Gary Koehler;
Chung-Sheng Li;
Rakesh Mohan;
Jakka Sairamesh; and
John R. Smith

Docket No.: YOR920010406US1
Serial No.: 09/895,944
Filing Date: June 29, 2001
Group: 2161
Examiner: Not Yet Assigned

Title: Methods and Apparatus for Enabling an Electronic Information Marketplace

"Express Mail" Label No.: EL861886824US

I hereby certify that this paper is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Signature: Date: October 2, 2001

TRANSMITTAL OF MISSING PARTS

Asstistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

SIR:

In response to the Notice to File Missing Parts, dated August 22, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration;
- (2) Assignment with Recordation Cover Sheet;
- (3) Formal Drawings (14 Sheets); and
- (4) Associate Power of Attorney.

A copy of the Notice is enclosed herewith. Please charge **IBM Corporation's Deposit Account No. 50-0510** the amount of \$130.00, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this letter are enclosed.

Respectfully submitted,

Robert J. Mauri
Attorney for Applicant(s)
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Fairfield, CT 06430
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Date: October 2, 2001



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,944	06/29/2001	Lawrence Bergman	YOR920010406US1

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AUG 24 2001

Ryan, Mason & Lewis, LLP
Suite 205
1300 Post Road
Fairfield, CT 06430

CONFIRMATION NO. 9336
FORMALITIES LETTER



OC000000006458485

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

[Signature]

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE